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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Attorney Docket No. _42P18312

(maximum 12 characters)

First Named Inventor Richard Jones

Title: METHOD AND APPARATUS PROVIDING AN OUTPUT COUPLER FOR AN OPTICAL BEAM

Express Mail Label No. EV320117718US

ADDRESS TO:

Mail Stop Patent Application Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

	APPLICATION ELEMENTS			
See	MPEP cna	apter 600 concerning utility patent application contents.		
1.	x	For Transmitted Form (a.g. DTO/OD/47)		
1.		Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		
		(Submit an original and a duplicate for fee processing)		
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)		
		The state of the s		
3.	<u>X</u>	Specification (Total Pages <u>24</u>)		
		(preferred arrangement set forth below)		
ļ		- Descriptive Title of the Invention - Cross Reference to Related Applications		
		- Statement Regarding Fed sponsored R & D		
		- Reference sequence listing, a table,		
		or a computer program listing appendix		
		- Background of the Invention		
		Brief Summary of the Invention Brief Description of the Drawings (if filed)		
		- Detailed Description		
		- Claim(s)		
		- Abstract of the Disclosure		
4.	X	Drowings(s) /2E HCC 442) /Tatal Chasts C		
		Drawings(s) (35 USC 113) (Total Sheets <u>6</u>)		
5.	_x	Oath or Declaration (Total Pages <u>4</u>)		
		a. X Newly Executed (Original or Copy)		
		· · · · · · · · · · · · · · · · · · ·		
		b. Copy from a Prior Application (37 CFR 1.63(d))		
		(for Continuation/Divisional with Box 18 completed)		
		i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting		
		inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)		
		and 1.33(b).		
		c Unsigned.		
		c Onsigned.		
6.		Application Data Sheet. (37 CFR 1.76)		
_				
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
8.		Nucleotide and/or Amino Acid Sequence Submission		
	a.	(if applicable, all necessary) Computer Readable Form (CRF)		
	b	Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or		
		iCD-ROM or CD-R (2 copies); or ii paper		
	c	Statements verifying identity of above copies		

1		ACCOMPANYING APPLICATION PARTS			
9.	<u> </u>	Assignment Papers (cover sheet & documents(s))			
10.		a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)			
	X_	b. Power of Attorney			
11.		English Translation Document (if applicable)			
12.	_X_	a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)			
	<u>_x</u>	b. Copies of IDS Citations			
13.		Preliminary Amendment			
14.	<u>X</u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)			
16.		Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.			
17A.		Claim for Foreign Priority			
17B.	_X	Other: Certificate of mailing with copy of postcard signed by attorney and express mail label number.			
18.	Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666. 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information				
pelow	and in the	e first sentence of the specification following the title (e.g., by way of preliminary r in an Application Data Sheet Under 37 C.F.R. 1.76:			
Of F (which which	rior Appli	ntinuation Divisional Continuation-in-part (CIP) cation No.: Examiner Group Art Unit atinuation/ divisional/ CIP of prior application no, clinuation/ divisional/ CIP of prior application no.			
Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
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<u>x</u>	Corresp Jam BLA	condence Address ler Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label condence Address Below les Y. Go KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 2400 Wilshire Boulevard			
X NAME ADDRI	Corresp Jam BLA ESS 1	condence Address der Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label condence Address Below des Y. Go KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 2400 Wilshire Boulevard Seventh Floor es STATE California ZIP CODE 90025			
X NAME ADDRI	Corresp Jam BLA ESS 1	condence Address der Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label condence Address Below des Y. Go KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 2400 Wilshire Boulevard Seventh Floor es STATE California ZIP CODE 90025			
ADDRI CITY L Counti	Corresp Jam BLA ESS 1 Los Angelery U.S.	or Condence Address ler Number or Bar Code Label or Clinsert Customer No. or Attach Bar Code Label condence Address Below les Y. Go KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 2400 Wilshire Boulevard Seventh Floor les STATE California ZIP CODE 90025 LA. TELEPHONE (206) 292-8600 FAX (206) 292-8606 PE): James Y. Go Registration No.: 40,621			
X NAME ADDRI CITY I Counti	Corresp Jam BLA ESS 1 Los Angelery U.S.	condence Address ler Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label condence Address Below les Y. Go KELY, SOKOLOFF, TAYLOR & ZAFMAN LLP 2400 Wilshire Boulevard Seventh Floor es STATE California ZIP CODE 90025 A. TELEPHONE (206) 292-8600 FAX (206) 292-8606			

Patent

Our Reference: 42P18312

METHOD AND APPARATUS PROVIDING AN OUTPUT COUPLER FOR AN OPTICAL BEAM

Inventors: Richard Jones

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Vames Y. Go Reg. No. 40,621

"Express Mail" mailing label number: EV320117718US

Date of Deposit: March 29, 2004

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Luci M. Arevalo (Typed or printed name of person mailing paper or fee)

Will M. Ward Ward 29,2004
(Signature of person mailing paper or fee) (Date signed)

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		TOTAL AMOUNT OF PAYMENT (\$) 918.00					
	Complete if Known:	(,,					
		nt Application					
	Filing Date Herewith	Inna					
	Examiner Name Not Yet Assign	Jones					
	Art Unit Not Yet Assigned						
	Attorney Docket No. 42P18312						
	Applicant claims sm	all entity status. See 37 CFR 1.27.					
	METHOD OF PAYMENT (che	ck all that apply)					
	X Check Cred	it Card Money Order Other	None				
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		t Number : <u>02-2666</u>					
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		ized to do the following with respect to the above-iden ndicated below.	tified Deposit Account:				
	X Credit any over	payments.					
	X Charge any add	ditional fees during the pendency of this application.					
		or future reply that requires a petition for extension of time sh					
		an appropriate petition for extension of time and all required indicated below except for the filing fee.	rees snould be charged.				
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	FEE CALCULATION	,					
	1 BASIC EII ING EEE		/				
	1. BASIC FILING FEE						
	Large Entity Small Entity		•				
	Fee Fee Fee						
	Code (\$) Code (\$)	Fee Description	Fee Paid				
	1001	Utility application filing fee Design application filing fee	<u>770</u>				
	1002 340 2002 170	Plant filing fee					
	1004 770 2004 385	Reissue filing fee					
	1005 160 2005 80	Provisional application filing fee					
		CURTOTAL /1	\ ¢ 770				
		SUBTOTAL (1) 4 <u>110</u>				
	2. EXTRA CLAIM FEES FOR	UTILITY AND REISSUE					
		Fee fro					
		Extra Claims below	Fee Paid				
	Total Claims 26	$-20^{**} = 6$ X 18	= 108				
	Independent Claims 3	$-3^{**} = 0$ X 86	= 0				
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1		l, if greater; For Reissues, see below.					
	Large Entity Small Entity						
	Fee Fee Fee Fee Code (\$)	Fee Description					
	1202 18 2202 9	Claims in excess of 20					
	1201 86 2201 43	Independent claims in excess of 3					
	1203 290 2203 145	Multiple dependent claim, if not paid					
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		CHRIOTAL	. (2) \$ <u>108</u>				
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FEE TRANSMITTAL FOR FY 2004

FEE CALCULATION (continued)

3. ADDITIONAL FEES

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Large Entity Small Entity			<u>intity</u>		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	· · · · · · ·
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	· · · · · · · · · · · · · · · · · · ·
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per	
0021	40	0021	40	property (times number of properties)	40
1809	770	2809	385	For filing a submission after final rejection	
1005	770	2003	303	(see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined	
1010	770	2010	363	(see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination (RCE)	
1002	900	1002	900	application	
1504	200	1504	300	Publication fee for early, voluntary, or normal pub.	
1504	300				
1505	300 130	1505	300 130	Publication fee for republication Request for voluntary publication or republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	
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